Calculus I - Lecture 16 Minima and Maxima & Mean Value Theorem

Lecture Notes: http://www.math.ksu.edu/~gerald/math220d/

Course Syllabus: http://www.math.ksu.edu/math220/spring-2014/indexs14.html

Gerald Hoehn (based on notes by T. Cochran)

March 24, 2014

One of the most important applications of calculus is optimization of functions

One of the most important applications of calculus is optimization of functions

(ロ)、(型)、(E)、(E)、 E) の(の)

Extrema can be divided in the following subclasses:

One of the most important applications of calculus is optimization of functions

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 の�?

Extrema can be divided in the following subclasses:

Maxima and Minima

One of the most important applications of calculus is optimization of functions

Extrema can be divided in the following subclasses:

- Maxima and Minima
- Absolute (or global) and local (or relative) Extrema

One of the most important applications of calculus is optimization of functions

Extrema can be divided in the following subclasses:

- Maxima and Minima
- Absolute (or global) and local (or relative) Extrema

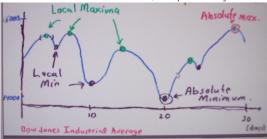
Extrema, Maxima and Minima are the plural form of Extremum, Maximum and Minimum, respectively.

One of the most important applications of calculus is optimization of functions

Extrema can be divided in the following subclasses:

- Maxima and Minima
- Absolute (or global) and local (or relative) Extrema

Extrema, Maxima and Minima are the plural form of Extremum, Maximum and Minimum, respectively.



Definition (Absolute Extrema)

Let f(x) be a function defined on on interval I and let $a \in I$.

1. We say that f(x) has an absolute maximum at x = a if f(a) is the maximal value of f(x) on I. That is

 $f(a) \ge f(x)$ for all $x \in I$.

2. We say that f(x) has an absolute minimum at x = a if f(a) is the minimal value of f(x) on I. That is

 $f(a) \leq f(x)$ for all $x \in I$.

Definition (Absolute Extrema)

Let f(x) be a function defined on on interval I and let $a \in I$.

1. We say that f(x) has an absolute maximum at x = a if f(a) is the maximal value of f(x) on I. That is

 $f(a) \ge f(x)$ for all $x \in I$.

We say that f(x) has an absolute minimum at x = a if f(a) is the minimal value of f(x) on I. That is f(a) ≤ f(x) for all x ∈ I.

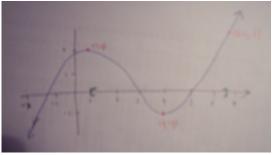
Definition (Local Extrema)

Let f(x) be a function.

- 1. We say that f(x) has an local maximum at x = a if f(a) is the maximal value of f(x) on some open interval I inside the domain of f containing a.
- 2. We say that f(x) has an local minimum at x = a if f(a) is the minimal value of f(x) on some open interval I inside the domain of f containing a.

In the above situation the value f(a) is called a global (or local) maximum (or minimum).

Example:

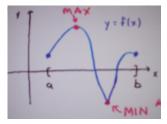




(ロ)、(型)、(E)、(E)、 E) の(の)

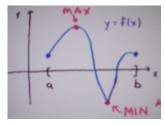
Where can this occur?

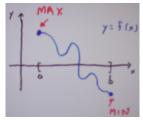
Where can this occur?



▲ロト ▲帰ト ▲ヨト ▲ヨト - ヨ - の々ぐ

Where can this occur?





Definition

A point c in the domain of a function f(x) is called a critical point if either

Definition

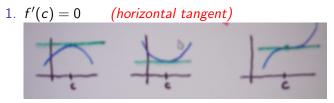
A point c in the domain of a function f(x) is called a critical point if either

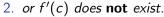
▲ロト ▲帰ト ▲ヨト ▲ヨト - ヨ - の々ぐ



Definition

A point c in the domain of a function f(x) is called a critical point if either







◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 の�?

Note: The converse does **not** hold, i.e., if f'(c) = 0 then f(c) is not necessarily a maximum or minimum.

Note: The converse does **not** hold, i.e., if f'(c) = 0 then f(c) is not necessarily a maximum or minimum.

Example: Find the local minima and maxima of $f(x) = x^3$. Solution:

Note: The converse does **not** hold, i.e., if f'(c) = 0 then f(c) is not necessarily a maximum or minimum.

Example: Find the local minima and maxima of $f(x) = x^3$. Solution:

By the theorem, we have to find the critical points.

Note: The converse does **not** hold, i.e., if f'(c) = 0 then f(c) is not necessarily a maximum or minimum.

Example: Find the local minima and maxima of $f(x) = x^3$.

Solution:

By the theorem, we have to find the critical points.

Since $f'(x) = 3x^2$, which is defined everywhere, the critical points occur where f'(x) = 0. From $f'(x) = 3x^2 = 0$ we find x = 0 as the only critical point.

Note: The converse does **not** hold, i.e., if f'(c) = 0 then f(c) is not necessarily a maximum or minimum.

Example: Find the local minima and maxima of $f(x) = x^3$. Solution:

By the theorem, we have to find the critical points.

Since $f'(x) = 3x^2$, which is defined everywhere, the critical points occur where f'(x) = 0. From $f'(x) = 3x^2 = 0$ we find x = 0 as the only critical point.

Since for all x < 0 one has f(x) < 0 and for x > 0 one has f(x) > 0 we see that f(0) = 0 is **not** a local extremum.

Note: The converse does **not** hold, i.e., if f'(c) = 0 then f(c) is not necessarily a maximum or minimum.

Example: Find the local minima and maxima of $f(x) = x^3$. Solution:

By the theorem, we have to find the critical points.

Since $f'(x) = 3x^2$, which is defined everywhere, the critical points occur where f'(x) = 0. From $f'(x) = 3x^2 = 0$ we find x = 0 as the only critical point.

Since for all x < 0 one has f(x) < 0 and for x > 0 one has f(x) > 0 we see that f(0) = 0 is **not** a local extremum.

The function $f(x) = x^3$ has no local minima or maxima.

Suppose that f(x) is continuous on the closed interval [a, b]. Then f(x) attains its absolute maximum and minimum values on [a, b] at either:

- A critical point
- or one of the end points a or b.

Suppose that f(x) is continuous on the closed interval [a, b]. Then f(x) attains its absolute maximum and minimum values on [a, b] at either:

- A critical point
- or one of the end points a or b.

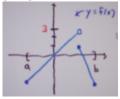
Note: If f(x) is **not** continuous on [a, b] then this theorem fails.

Suppose that f(x) is continuous on the closed interval [a, b]. Then f(x) attains its absolute maximum and minimum values on [a, b] at either:

- A critical point
- or one of the end points a or b.

Note: If f(x) is **not** continuous on [a, b] then this theorem fails.

Example: What is the maximum value of f(x) on the interval [a, b]?

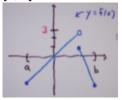


Suppose that f(x) is continuous on the closed interval [a, b]. Then f(x) attains its absolute maximum and minimum values on [a, b] at either:

- A critical point
- or one of the end points a or b.

Note: If f(x) is **not** continuous on [a, b] then this theorem fails.

Example: What is the maximum value of f(x) on the interval [a, b]?



Solution: There is none.

a) Find the critical points for the function $f(x) = 3x - x^3$.

b) Find the maximal and minimal values of $f(x) = 3x - x^3$ on the interval [-1, 3].

Solution:

a) Find the critical points for the function $f(x) = 3x - x^3$. b) Find the maximal and minimal values of $f(x) = 3x - x^3$ on the interval [-1, 3].

Solution:

a) $f'(x) = 3 - 3x^2 = 3(1 - x^2)$

a) Find the critical points for the function $f(x) = 3x - x^3$. b) Find the maximal and minimal values of $f(x) = 3x - x^3$ on the interval [-1, 3].

Solution:

a) $f'(x) = 3 - 3x^2 = 3(1 - x^2)$ f'(x) = 0 when $x^2 = 1$ or $x = \pm 1$.

a) Find the critical points for the function $f(x) = 3x - x^3$. b) Find the maximal and minimal values of $f(x) = 3x - x^3$ on the interval [-1, 3].

Solution:

a) $f'(x) = 3 - 3x^2 = 3(1 - x^2)$ f'(x) = 0 when $x^2 = 1$ or $x = \pm 1$. f'(x) exists for all real numbers.

a) Find the critical points for the function $f(x) = 3x - x^3$. b) Find the maximal and minimal values of $f(x) = 3x - x^3$ on the interval [-1, 3].

Solution:

a) $f'(x) = 3 - 3x^2 = 3(1 - x^2)$ f'(x) = 0 when $x^2 = 1$ or $x = \pm 1$. f'(x) exists for all real numbers. The only critical points are ± 1 .

a) Find the critical points for the function $f(x) = 3x - x^3$. b) Find the maximal and minimal values of $f(x) = 3x - x^3$ on the interval [-1, 3].

Solution:

a) $f'(x) = 3 - 3x^2 = 3(1 - x^2)$ f'(x) = 0 when $x^2 = 1$ or $x = \pm 1$. f'(x) exists for all real numbers. The only critical points are ± 1 .

b) We make a table with the critical points inside the interval and its endpoints.

a) Find the critical points for the function $f(x) = 3x - x^3$. b) Find the maximal and minimal values of $f(x) = 3x - x^3$ on the interval [-1, 3].

Solution:

a) $f'(x) = 3 - 3x^2 = 3(1 - x^2)$ f'(x) = 0 when $x^2 = 1$ or $x = \pm 1$. f'(x) exists for all real numbers. The only critical points are ± 1 .

b) We make a table with the critical points inside the interval and its endpoints.

$$\begin{array}{c|c|c} x & 3x - x^3 \\ \hline 1 & 3 - 1 = 2 \\ -1 & -3 - (-1) = -2 \\ 3 & 3 \cdot 3 - 3^3 = -18 \end{array}$$

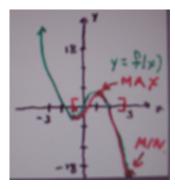
a) Find the critical points for the function $f(x) = 3x - x^3$. b) Find the maximal and minimal values of $f(x) = 3x - x^3$ on the interval [-1, 3].

Solution:

a) $f'(x) = 3 - 3x^2 = 3(1 - x^2)$ f'(x) = 0 when $x^2 = 1$ or $x = \pm 1$. f'(x) exists for all real numbers. The only critical points are ± 1 .

b) We make a table with the critical points inside the interval and its endpoints.

Minimal value is -18 at x = 3.



◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 のへぐ

Solution: Step 1: Find the critical points.

Solution: Step 1: Find the critical points. $f'(x) = 1 \cdot (2-x)^{1/3} + x \cdot \frac{1}{3}(2-x)^{-2/3}(-1)$

Solution: Step 1: Find the critical points.

$$f'(x) = 1 \cdot (2-x)^{1/3} + x \cdot \frac{1}{3}(2-x)^{-2/3}(-1)$$
$$= \frac{2-x}{(2-x)^{2/3}} - \frac{x}{3(2-x)^{2/3}}$$

Solution: Step 1: Find the critical points.

$$f'(x) = 1 \cdot (2-x)^{1/3} + x \cdot \frac{1}{3}(2-x)^{-2/3}(-1)$$

= $\frac{2-x}{(2-x)^{2/3}} - \frac{x}{3(2-x)^{2/3}}$
= $\frac{3(2-x)-x}{3(2-x)^{2/3}} = \frac{6-4x}{3(2-x)^{2/3}}$

Solution: Step 1: Find the critical points.

$$f'(x) = 1 \cdot (2 - x)^{1/3} + x \cdot \frac{1}{3}(2 - x)^{-2/3}(-1)$$
$$= \frac{2 - x}{(2 - x)^{2/3}} - \frac{x}{3(2 - x)^{2/3}}$$
$$= \frac{3(2 - x) - x}{3(2 - x)^{2/3}} = \frac{6 - 4x}{3(2 - x)^{2/3}}$$

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□▶

 $f'(x) = 0 \Rightarrow 6 - 4x = 0 \Rightarrow 4x = 6 \Rightarrow x = \frac{3}{2}$

Solution: Step 1: Find the critical points.

$$f'(x) = 1 \cdot (2-x)^{1/3} + x \cdot \frac{1}{3}(2-x)^{-2/3}(-1)$$
$$= \frac{2-x}{(2-x)^{2/3}} - \frac{x}{3(2-x)^{2/3}}$$
$$= \frac{3(2-x)-x}{3(2-x)^{2/3}} = \frac{6-4x}{3(2-x)^{2/3}}$$

 $f'(x) = 0 \Rightarrow 6 - 4x = 0 \Rightarrow 4x = 6 \Rightarrow x = \frac{3}{2}$ f'(x) does not exist $\Rightarrow 2 - x = 0 \Rightarrow x = 2$

Solution: Step 1: Find the critical points.

$$f'(x) = 1 \cdot (2-x)^{1/3} + x \cdot \frac{1}{3}(2-x)^{-2/3}(-1)$$
$$= \frac{2-x}{(2-x)^{2/3}} - \frac{x}{3(2-x)^{2/3}}$$
$$= \frac{3(2-x)-x}{3(2-x)^{2/3}} = \frac{6-4x}{3(2-x)^{2/3}}$$

 $f'(x) = 0 \Rightarrow 6 - 4x = 0 \Rightarrow 4x = 6 \Rightarrow x = \frac{3}{2}$

f'(x) does not exist $\Rightarrow 2 - x = 0 \Rightarrow x = 2$

Step 2: Make table with critical points & endpoints

$$\begin{array}{c|c} x & x(2-x)^{1/3} \\\hline 3/2 & \frac{3}{2}(\frac{1}{2})^{1/3} \approx 1.19 \\ 2 & 2 \cdot 0^{1/3} = 0 \\\hline 1 & 1 \cdot 1^{1/3} = 1 \\3 & 3(-1)^{1/3} = -3 \end{array}$$

Solution: Step 1: Find the critical points.

$$f'(x) = 1 \cdot (2-x)^{1/3} + x \cdot \frac{1}{3}(2-x)^{-2/3}(-1)$$
$$= \frac{2-x}{(2-x)^{2/3}} - \frac{x}{3(2-x)^{2/3}}$$
$$= \frac{3(2-x)-x}{3(2-x)^{2/3}} = \frac{6-4x}{3(2-x)^{2/3}}$$

 $f'(x) = 0 \Rightarrow 6 - 4x = 0 \Rightarrow 4x = 6 \Rightarrow x = \frac{3}{2}$

f'(x) does not exist $\Rightarrow 2 - x = 0 \Rightarrow x = 2$

Step 2: Make table with critical points & endpoints

$$\frac{x \quad x(2-x)^{1/3}}{3/2} \frac{3}{2}(\frac{1}{2})^{1/3} \approx 1.19}{2 \quad 2 \cdot 0^{1/3} = 0}$$

$$\frac{1}{1} \quad 1 \cdot 1^{1/3} = 1$$

$$3 \quad 3(-1)^{1/3} = -3$$
Maximal value is $\frac{3}{2\sqrt[3]{2}}$, minimal value is -3 .

We start with an important special case of the Mean Value Theorem (MVT) $% \left(MVT\right) =0$

We start with an important special case of the Mean Value Theorem (MVT)

Theorem (Rolle's Theorem)

Suppose f(x) is a continuous function on [a, b], is differentiable on (a, b), and f(a) = f(b). Then there exists a c in (a, b) with f'(c) = 0.

We start with an important special case of the Mean Value Theorem (MVT)

Theorem (Rolle's Theorem)

Suppose f(x) is a continuous function on [a, b], is differentiable on (a, b), and f(a) = f(b). Then there exists a c in (a, b) with f'(c) = 0.

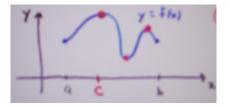
Note: There can be more than one such value of c.

We start with an important special case of the Mean Value Theorem (MVT)

Theorem (Rolle's Theorem)

Suppose f(x) is a continuous function on [a, b], is differentiable on (a, b), and f(a) = f(b). Then there exists a c in (a, b) with f'(c) = 0.

Note: There can be more than one such value of c.

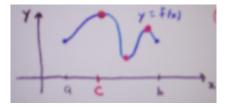


We start with an important special case of the Mean Value Theorem (MVT)

Theorem (Rolle's Theorem)

Suppose f(x) is a continuous function on [a, b], is differentiable on (a, b), and f(a) = f(b). Then there exists a c in (a, b) with f'(c) = 0.

Note: There can be more than one such value of c.



Proof: Follows from the results of the last section!

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 の�?

Solution:

Since f(x) is a polynomial it is continuous on [1,2] and the derivative f'(x) exists on (1,2).

Solution:

Since f(x) is a polynomial it is continuous on [1, 2] and the derivative f'(x) exists on (1, 2).

 $f(1) = 1^2 - 3 \cdot 1 = -2,$ $f(2) = 2^2 - 3 \cdot 2 = -2$

Solution:

Since f(x) is a polynomial it is continuous on [1,2] and the derivative f'(x) exists on (1,2).

 $f(1) = 1^2 - 3 \cdot 1 = -2,$ $f(2) = 2^2 - 3 \cdot 2 = -2$ Thus f(1) = f(2).

Solution:

Since f(x) is a polynomial it is continuous on [1,2] and the derivative f'(x) exists on (1,2).

 $f(1) = 1^2 - 3 \cdot 1 = -2,$ $f(2) = 2^2 - 3 \cdot 2 = -2$ Thus f(1) = f(2).

Find c:

Solution:

Since f(x) is a polynomial it is continuous on [1,2] and the derivative f'(x) exists on (1,2).

 $f(1) = 1^2 - 3 \cdot 1 = -2,$ $f(2) = 2^2 - 3 \cdot 2 = -2$ Thus f(1) = f(2).

Find c:

 $f'(x) = 2x - 3 = 0 \Rightarrow 2x = 3 \Rightarrow x = \frac{3}{2}.$

Solution:

Since f(x) is a polynomial it is continuous on [1,2] and the derivative f'(x) exists on (1,2).

 $f(1) = 1^2 - 3 \cdot 1 = -2,$ $f(2) = 2^2 - 3 \cdot 2 = -2$ Thus f(1) = f(2).

Find c:

 $f'(x) = 2x - 3 = 0 \Rightarrow 2x = 3 \Rightarrow x = \frac{3}{2}.$

 $c = \frac{3}{2}$ satisfies the conclusion of Rolle's Theorem.

Suppose f(x) is a continuous function on [a, b] and is differentiable on (a, b).

Then there exists a c in (a, b) with $f'(c) = \frac{f(b) - f(a)}{b - a}$.

Suppose f(x) is a continuous function on [a, b] and is differentiable on (a, b).

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ □臣 = のへで

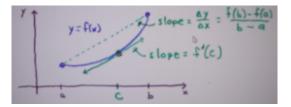
Then there exists a c in
$$(a, b)$$
 with $f'(c) = \frac{f(b) - f(a)}{b - a}$.

Note: Again, there can be more than one such value of c.

Suppose f(x) is a continuous function on [a, b] and is differentiable on (a, b).

Then there exists a c in
$$(a, b)$$
 with $f'(c) = \frac{f(b) - f(a)}{b - a}$.

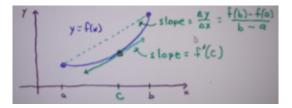
Note: Again, there can be more than one such value of c.



Suppose f(x) is a continuous function on [a, b] and is differentiable on (a, b).

Then there exists a c in
$$(a, b)$$
 with $f'(c) = \frac{f(b) - f(a)}{b - a}$.

Note: Again, there can be more than one such value of c.



Intuitive version: There is always a time when your instantaneous velocity equals your average velocity.

Solution:

Solution:

First note that f(x) is continuous on [-1,3] and differentiable on (-1,3).

Solution:

First note that f(x) is continuous on [-1,3] and differentiable on (-1,3). $\frac{f(b) - f(a)}{b - a} = \frac{f(3) - f(-1)}{3 - (-1)} = \frac{27 - (-1)}{4} = \frac{28}{4} = 7.$

Solution:

First note that f(x) is continuous on [-1, 3] and differentiable on (-1, 3). $\frac{f(b) - f(a)}{b - a} = \frac{f(3) - f(-1)}{3 - (-1)} = \frac{27 - (-1)}{4} = \frac{28}{4} = 7.$ $f'(x) = 3x^2$

Solution:

First note that f(x) is continuous on [-1, 3] and differentiable on (-1, 3). $\frac{f(b) - f(a)}{b - a} = \frac{f(3) - f(-1)}{3 - (-1)} = \frac{27 - (-1)}{4} = \frac{28}{4} = 7.$ $f'(x) = 3x^2$ MVT: $7 = 3c^2 \Rightarrow c^2 = \frac{7}{3} \Rightarrow c = \pm \sqrt{\frac{7}{3}}$

Solution:

First note that f(x) is continuous on [-1,3] and differentiable on (-1,3). $\frac{f(b) - f(a)}{b - a} = \frac{f(3) - f(-1)}{3 - (-1)} = \frac{27 - (-1)}{4} = \frac{28}{4} = 7.$ $f'(x) = 3x^2$ MVT: $7 = 3c^2 \Rightarrow c^2 = \frac{7}{3} \Rightarrow c = \pm \sqrt{\frac{7}{3}}$ Only $c = \sqrt{\frac{7}{3}}$ is in (-1,3).

Solution:

First note that f(x) is continuous on [-1,3] and differentiable on (-1, 3). $\frac{f(b) - f(a)}{b - a} = \frac{f(3) - f(-1)}{3 - (-1)} = \frac{27 - (-1)}{4} = \frac{28}{4} = 7.$ $f'(x) = 3x^2$ MVT: $7 = 3c^2 \Rightarrow c^2 = \frac{7}{3} \Rightarrow c = \pm \sqrt{\frac{7}{3}}$ Only $c = \sqrt{\frac{7}{3}}$ is in (-1,3). $c = \sqrt{\frac{7}{3}}$ satisfies the conclusion of the MVT.

・ロト・4回ト・4回ト・4回ト・回・99(で)